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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/757,851	01/16/2004	Craig Hansen	43876-162	5073
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Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Office Action Summary

Application No.

10/757,851

Applicant(s)

HANSEN ET AL.

Examiner

Eric Coleman

Art Unit

2183

Period for Reply -- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 12/15/08 and 1/9/09.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-22 and 33-52 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-22 and 33-52 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☒ Information Disclosure Statement(s) (PTO/S508)
- Paper No(s)/Mail Date 1/9/09, 12/15/08
- 4) ☐ Interview Summary (PTO-413)
- Paper No(s)/Mail Date _____
- 5) ☐ Notice of Informal Patent Application
- 6) ☐ Other: _____

DETAILED ACTION

This action is presented in response to the RCE filed December 15, 2008. and the information disclosure which includes a related cases. A related case referred to case law which pertains to the same issue that is involved in the instant application. This issue is concerning whether an appendix in a prior application can be used to overcome prior art in a current application. The case law e.g., *in re DeSeversky* 177 UPPQ 144 indicates that:

"Mere reference to another application, patent, or publication is not an incorporation of anything therein into application containing such reference for purposes of disclosure required by 35 U.S.C. 112; likewise, it does not serve to bring a disclosure within requirements of section 120 so as to give a later application the benefit of filing date of earlier application; later application must itself contain the necessary disclosure; purpose of "incorporation by reference" is to make one document become a part of another by referring to the former in the latter in such a manner that it is apparent that cited document is part of referencing document as if it were fully set out therein."

In the *Seversky* case is is also stated:

"It should be noted in this connection that the parent application, No. 53,255, contains no "incorporation-by-reference" language whatsoever. Its only relation to *de Seversky* (C) is indicated by the simple statement that it is a "continuation-in-part" thereof. That language is insufficient to incorporate any part of *de Seversky* (C) into the parent case. All it means is that insofar as the disclosure of the parent finds corresponding disclosure in the grandparent, the parent is entitled to the filing date of the grandparent. 35 U.S.C. 120".

Further in the *Seversky* case it states:

"As the expression itself implies, the purpose of "incorporation by reference" is to make one document become a part of another document by referring to the former in the latter in such a

manner that it is apparent that the cited document is part of the referencing document as if it were fully set out therein. [Emphasis added.]
We held in Lund that the mere statement that an application is a "continuation-in-part" does not do that. Appellant relies on language in Lund but that case decided the legal question appellant presents here flatly contrary to his contentions."

In the patents related to the instant case the patent No. 5,742,840 contains the language.

A Microfiche Appendix consisting of 4 sheets (387 total frames) of microfiche is included in this application. The Microfiche Appendix contains material which is subject to copyright protection. The copyright owner has no objection to the facsimile reproduction by any one of the Microfiche Appendix, as it appears in the Patent and Trademark Office patent files or records, but otherwise reserves all copyright rights whatsoever.

Further in the relate patent No. 6,295,599, is states

RELATED APPLICATIONS

This application is related to Provisional Application No. 60/097,635, filed Aug. 24, 1998, and is a continuation in part of U.S. patent application Ser. No. 09/169,963, filed Oct. 13, 1998 which is in turn related to U.S. patent application Ser. No. 08/516,036, filed Aug. 16, 1995, now U.S. Pat. No. 5,742,840.

MICROFICHE APPENDIX

(2) Included herewith as an Appendix are 5 sheets of microfiche of 309 frames.

As it can be seen above, the '840 patent did not incorporate the attached appendix by reference, and the '599 patent did not incorporate the disclosure of the '840 patent by reference. Therefore by the direction of the case law the disclosure including appendix of the '840 patent does not satisfy as a disclosure requirement under 112 in the '599 or later applications for the purpose so as to give the later application the benefit of the filing date of the earlier application. The prior art references cited later in this action each antedates the '599 priority date (Note even if the appendix would satisfy the 112 requirement it cannot satisfy the requirement until it was filed on the filing date of the '599 patent, the provisional application which the '599 patent depends did not contain the microfiche, and even if it did there was no incorporation by reference of the content of the provisional application. Therefore the art rejections that were previously presented and only taken away due to the argument of the priority of the '840 patent are now reinstated because of the case law referred to above (In re Seversky and In re Lund).

Note: the appendix of the '599 case is not incorporated by reference into the '599 case.

Claim Rejections - 35 USC § 103

1. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

2. Claims 1,2,4-13,15-22 are rejected under 35 U.S.C. 103(a) as being unpatentable over Fischer (patent No. 6,823,353) in view of Kabir (patent No. 5,933,160)(both previously presented).

3. Fischer taught the invention as claimed including a data processing ("DP") system comprising:

Decoding and executing instructions that instruct a computer system to perform operations (e.g., see col. 7, lines 16-35); at least some of the instructions including a group floating point instruction operating on first and second registers partitioned into a plurality of floating point operands, the floating point operands having a defined precision, having a defined result precision which is equal to the defined precision of the operands;(e.g., see fig. 6); at least some group floating-point instruction being a group floating-point multiply-and-add instruction(e.g., see fig. 10 and col. 2, lines 35-65) further operation on a third register partitioned into a plurality of floating point operands, operable to multiply the plurality of floating point operands in the first and second registers and add the plurality of floating-point operands in the third register, each producing a floating-point value to provide a plurality of floating-values ,each of the floating point values capable of being represented by the defined result precision, and a concatenated result having a plurality of partitioned fields for receiving the plurality of floating point values (e.g., see col. 2, lines 35-65 and col. 8, lines 8-65 and col. 3, line 9- col. 10, line 44).

4. Fischer taught (claims 1,12) a plurality of different precisions for the operands but did not expressly detail the precision was dynamically variable (e.g., see fig. 2a,3,6).

Kabir however taught dynamically changeable precision the result (e.g., see fig. 5a,5b and col. 4, line 45-col. 6, line 5).

5. It would have been obvious to one of ordinary skill in the DP art to combine the teachings of Fischer and Kabir. Both references were directed to the problems of performing mathematics processing on data partitioned in registers (e.g., see col. 2, lines 32-52 of Kabir and fig. 2a of Fischer). Fischer taught multiply add operation on portions operands that were less than the whole width of the register (e.g., see fig. 11). Consequently one of ordinary skill would have been motivated to incorporate the Kabir teaching of floating point arithmetic on portions of a register performed in parallel in a single clock cycle at least to increase the rate at which the floating point arithmetic operates and allows the system to be used in pixel processing applications and by providing efficient processing of pixel data (e.g., see col. 2, lines 28-52 of Kabir)

6. Claims 1 is representative of the other independent claims in the application. The method claim 1 provides the steps and features that are included in the corresponding claim 12 . The difference in the claim 12 from claim 1, is that instructions are embodied in a computer readable medium (claim 12) and a signal (in claim 23). Clearly in order to implement the system Kabir and Fischer the instructions and data would have had to have been stored in a readable medium such as a memory so that the instructions and data would not be lost. As to the limitation of the instruction being a signal clearly one of ordinary skill would have been motivated to provide the instructions via signal in order

for upload or download the instructions to a computer for implementation of the Fischer, Cohen and Kabir system. Due to the similarities of the corresponding claims 1,12,23 these claims are rejected as detailed above.

7. As per claim 2,13, Fisher taught at least some of the group floating point instruction being at least one member of the collection consisting of group floating-point subtract, group floating-point add (e.g., see figs. 6,9), and group floating point multiply(e.g., see fig. 2b), operable to perform a subtract (e.g., see figs. 2b,11), add and multiply respectively on the plurality of floating point operands in the first and second registers, each producing a floating point value to provide a plurality of floating point values(e.g., see fig. 3 and col. 10, lines 9, line 8-col. 10, line 55), each of the floating point values capable of being represented by the defined result precision, and a concatenated result having a plurality of partitioned fields for receiving the plurality of floating point values (e.g., see fig. 2a,3,6,9); and at least some group floating point instruction being one memory of the collection consisting of group floating point set less and group floating point set greater than or equal operable to perform a set less than or set greater than operation respectively on the plurality of floating point operands in the first and second registers (e.g., see col. 12, lines 31-57)[the system shifts the operands by a predetermined number of bits e.g., 16 bits to place the operation in a portion of the register greater than or less than a position in the register then can contain other operands], each producing a value to provide a plurality of values each of the values capable of being represented by the defined result precision, and a concatenated result having a plurality of partitioned fields for receiving the plurality of values (e.g., see fig.

7),; and at least some of the instructions comprising data manipulations on multiple operands stored in partitioned fields wherein the data manipulations comprise copying and rearranging operands (e.g., see col. 9, lines 13-44 and col. 10, line 56-col. 11, line 11).

8. Fischer and Kabir did not expressly detail wherein the value zero if the operation produces a false result, and wherein the value identity if the value produces and true result. However this limitation is merely a view of the data and does not change the operation or structure of the system. Since in floating point arithmetic it was well known in the art that error such a (denormal data or overflow) would produce invalid data and prior art systems have provided validity indications for floating point results one of ordinary skill would have been motivated to at least provide an indication as to validity of the floating results (although the claims does not require this indication) where a high value would indicate validity and low value would invalidity of floating point results. On the other hand since Fischer and Kabir taught partitioning of the data in the registers it would had been obvious to use of a mask to generate the partitioned data for transfer of only a portion of the data in a register from one register to another register wherein a mask was well known to provide ones and zeros for the bits in a register that were either transferred or masked out.

9. As per claim 4,15, Fischer and Kabir did not expressly detail a width of 128 bit. However since the industry standard processors have increased word widths by a multiples of 2 at least as the memory sizes have increase to be able to address the larger amounts of memory. Therefore one of ordinary skill would have been motivated

to use a 128 bit word width to be able to address the larger size memories that would store the data operated on by the Fischer system.

10. As per claim 5,16 Fisher taught the concatenated results were provided to a register (e.g., see fig. 2a and col. 8, lines 8-32) Kabir also taught this limitation (e.g., see figs. 5a,5b and col. 8, lines 21-56).

11. As per claim 6,8,17,19, Kabir taught use of a defined precision of 16 bits and 32 bits (e.g., see figs 5a,5b). Fisher and Kabir did not specifically detail 64-bit precision. However when larger register widths would have been used in systems that used larger registers and amounts of memory to take advantage of the industry wide reduction in cost of memory one of ordinary skill would have been motivated use 64 bit with at least in the operations that used half the register in when a 128 bit register was used (this corresponds the operations that used half the register ,16 bits of a 32 bit register in Kabir (e.g., see fig. 5b of Kabir).

12. As per claim 7,18, Fisher taught sign bits, significant and exponent bits (e.g., see col. 1, lines 30-40 and col. 15, lines 47-57). As to the specifically claims arrangement of data in claim 7,9,11 does not alter the operation of the claimed invention as no specific change in the apparatus or steps performed is claimed. Since the data in the registers of Fisher and Kabir comprise bits and the claimed data comprises bits therefore the only different is the view of the data. Since these differences are found in non-functional descriptive material and are not functionally involved in the steps recited. Thus this descriptive material will not distinguish the claimed invention from the prior art in terms of patentability, see in re Gulack, 703, F 2d 1381, 1385, 217 USPQ 401, 404 (Fed. Cir.

1983) ; In re Lowry, 32, F 3d 1579, 32 USPQ2d 1031 (Fed Cir. 1994). Therefore it would have been obvious to a person of ordinary skill in the art at the time of the invention to perform the floating point operations on partial width data in defined width in any format of floating point data because such data does not functionally relate to the steps in the method claimed and because the subjective interpretation of the data does not patentably distinguish the claimed invention.

13. Claims 3,14, are rejected under 35 U.S.C. 103(a) as being unpatentable over Fischer and Kabir as applied to claims 1,2,4-13,15-22 above, and further in view of Cohen (patent No. 5,751,614).

14. As per claim 3, Cohen taught the zero value and the identify value are values that construct a mask operable to select between alternative expressions using bit-wise Boolean operation (e.g., see fig. 3).

15. It would have been obvious to one of ordinary skill to combine the teachings of Fischer and Cohen. Both Fischer and Cohen were directed to performing operations of data in portions of data from a register (e.g., see fig. 3 of Cohen and fig. 2a of Fisher). Cohen taught a system for generating separating the portions of data in a register for transfer and partial width operations. Therefore one of ordinary skill would have been motivated to incorporate partial width data generation teaching of Cohen using a mask in the Fischer system that operated on partial width data at least to efficiently processing of the data of different width including shifting the data (e.g., see col. 10, lines 47-67 and col. 2, lines 60-col. 3, line 34 of Cohen).

16. Claims 33,35,40-43,45,50-52, are rejected under 35 U.S.C. 103(a) as being unpatentable over Kabir.

17. As per claims 33, 43 Kabir taught executing a plurality of instructions (SAXPY)(e.g., see col. 8, lines 5-20) each of which (i) operates on data stored in a first, a second and third register, the data in the first register comprising a first plurality of equal-sized data elements, the data in the second register comprising a second plurality of equal-sized data elements, the data in the third register comprising a third plurality of equal-sized data elements, (ii) multiplies each data element in the first register with a corresponding data element in the second register to produce a plurality of product (e.g., see fig. 6 L), and (iii) adds each product in the plurality of products to a corresponding data element in the third register to produce a plurality of sums (e.g., see col. 9, lines 1-34), and (iv) provides the plurality of sums as concatenated result (e.g., see col. 7, lines 36-66 and col. 8, lines 25-67 and fig. 6E). Kabir did not expressly detail that the SAXPY operation operated on floating point elements. However Kabir taught a floating point functional unit (335,410) that performed floating point/graphics operations (e.g., see figs. 3,4) and the SAXPY operation was taught as for performing operations on pixels (e.g., see col. 10, lines 11-22). Therefore one of ordinary skill would have been motivated to utilize the graphics execution unit that operated on floating point data for executing the SAXPY partitioned arithmetic operation.

18. As per claim 35,45 Kabir taught the concatenated result is returned to a register (e.g., see col. 7, lines 47). One of ordinary skill in the DP art would have been motivated to store result of the calculation in a further register when the result need to be used

since the Kabir system stored the result in a accumulating register where when a succeeding operation is performed the preceding result is lost.

19. As per claim 40,41,42,50,51,52 Thekkath taught the integer instruction multiplies data elements of 32-bit integer (e.g., see col. 18, lines 9-27) and Kabir taught adding elements of 64 bit data (e.g., see col. 8, lines 5-37 and col. 9, line 27-col. 10, line 28).

20. Claims 34,36-39,44,46-49 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kabir as applied to claims 33,35,40-43,45,50-52 above, and further in view of Thekkath (patent No.6,732,259)(previously presented).

21. As per claim 34,44 Thekkath taught each of the plurality of instructions includes a field that indicates the size of each of the plurality of first and second plurality of data elements (e.g, see col. 15, lines 10-65 and figs. 6C,6D,7A).

22. As per claim 36,46 Thekkath taught for the floating point instruction, each of the first plurality and second plurality of equal-sized data elements is a floating point value that is n bits wide, and each of the third plurality of equal-sized data elements is also a floating point value that is n bits wide (e.g., see fig. 2E,5,7A7B and col. 10, lines 7-14 and col. 14, line 60-col. 15, line 9).

23. As per claim 37,47 Kabir taught the floating point instruction multiplies data elements of floating point data and adds data elements of floating point data as described above and Thekkath taught the sizes of floating point data is 32 bits (e.g., see col. 18, lines 9-27 and col. 17, lines 22-40).

24. As per claim 38, 48 Kabir taught operating on data in first, second and third registers as discussed above. Thekkath taught the operations (232,234) on partitioned data comprised integer data (e.g., see fig. 2a and col. 9, lines 30-50).

25. As per claim 39,49Thekkath taught the integer instruction, each of the first plurality and second plurality of equal-sized data element is an integer value that is n-bits wide and each of the third plurality of equal sized data elements is an integer value that is 2n bits wide (e.g., see fig. 7A, 7B).

Response to Arguments

In addition to the reasoning that the priority date of the instant application is antedate by the prior art cited above. The Examiners position as to the sufficiency or lack thereof the disclosure in the '599 and 840 patents is discussed below.

Applicant's arguments filed 11/21/06 have been fully considered but they are not persuasive. The applicant argues that the priority for the instant application includes the '840 patent (and its appendix). The Examiner has reviewed the '840 patent and the (appendix to the '599 patent which is linked the '840 patent by a dependency chain that comprises a continuation in part). The Examiner contends that the operation of the '599 patent performs transferring portions of register contends via a data path that is narrower than the register used by the instruction execution means. The portions of the operand are stored into the register and an operation is performed on the data. However the multiply-add operation is not taught and no means for a single instruction to perform this complex operation is taught by the '599 or '840 patents. Also the neither

patent teaches storing any data that is not part of the one operand. Therefore there is no support for the storing of plurality of floating point values where a floating point value is understood as an IEEE standard floating point value that would have accessible separately from another floating point value. Without any teachings for plural floating point of other separately accessible data or indication where the portions of the standard floating point number such as the mantissa reside in the register or memory location then the data is merely a grouping of bits that operated on in some fashion. Consequently the other features claimed are not taught or supported by the '840 or '599 patents. As to the appendices the Examiner as reviewed the portions indicated in the applicant's remarks cannot support for the features claimed as they have not been incorporated by reference. Therefore the Examiner concludes that the priority for the claimed invention does not extend to the '599 or the '840 patents. The appendix of the 599 reference is not incorporated by reference and similarly does not satisfy the disclosure requirement for the '599 patent and later applications for the purpose of having the benefit an earlier filing date.

Conclusion

The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

Dao-Trong (patent No. 5,517,438) disclosed fast multiply-add instruction sequence in a pipelined floating point processor (e.g., see abstract).

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Eric Coleman whose telephone number is (571) 272-4163. The examiner can normally be reached on Monday-Thursday.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Eddie Chan can be reached on (571) 272-4162. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

EC

/Eric Coleman/
Primary Examiner, Art Unit 2183